

By Express Mail #EL988192660US

Attorney Docket No.: 5405-8

Check box if applicable: ☐ DUPLICATE

**UTILITY PATENT APPLICATION TRANSMITTAL**

*Submit an original and a duplicate for fee processing*

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

16235 U.S. PTO  
10/666518



Dated: September 19, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Mitsuru MIMORI, Kouhei OHTA

For: OPTICAL ELEMENT, OBJECTIVE OPTICAL ELEMENT AND OPTICAL  
PICKUP DEVICE

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Title Page, Specification, Claims 1 to 45 & Abstract ( pages 136)
- Executed Declaration and Power of Attorney ( p. 3)
- 15 sheets of drawings (Figs. 1 to 18)
- Check for \$1200.00 for filing fee
- ASSIGNMENT INFORMATION FOR PUBLICATION
- Konica Minolta Holdings, Inc.
- A corporation of Japan
- 6-1, Marunouchi 1-chome, Chiyoda-ku,
- Tokyo 100-0005 Japan
- Assignment of the invention to Konica Minolta Holdings, Inc.
- Recordation Cover Sheet (PTO-1595)

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- Check for **\$40.00** for Assignment Recording Fee
- Certified copies of priority documents Nos. 2002-287268, 2002-291399, 2002-323414, 2002-323418
- Letter Transmitting Priority documents
- Return Receipt Postcard

☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

☒ Priority is claimed for this invention and application, corresponding applications having been filed in **Japan** on **September 30, 2002**, No. **2002-287268**, **Japan** on **October 03, 2002**, No. **2002-291399**, **Japan** on **November 07, 2002**, No. **2002-323414**, **Japan** on **November 07, 2002**, No. **2002-323418**.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: \_\_\_\_\_

*Thomas Langer*  
Thomas Langer, Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
Tel: (212) 687-2770  
Fax: (212) 972-5487

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## FILING FEE COMPUTATION SHEET

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Alexandria, VA 22313-1450

In re Application of: Mitsuru MIMORI et al.

For: OPTICAL ELEMENT, OBJECTIVE OPTICAL ELEMENT AND OPTICAL  
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The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY	OTHER THAN SMALL ENTITY
	# FILED	# EXTRA		
BASIC FEE			\$375	\$750
TOTAL CLAIMS	45 - 20 =	<u>25</u>	x 9 = \$	x 18 = \$ 450
INDEPENDENT CLAIMS	<u>2</u> - 3 =	<u>0</u>	x 42 = \$	x 84 = \$
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$140 = \$	+ 280 \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL: \$	\$ 1200

09/19/03  
16623 U.S. PTO

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Patent

Attorney Docket # 5405-8

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Mitsuru MIMORI et al.

Serial No.: n/a

Filed: concurrently

For: OPTICAL ELEMENT,  
OBJECTIVE OPTICAL  
ELEMENT AND OPTICAL  
PICKUP DEVICE

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME**

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**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

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- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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551 Fifth Avenue, Suite 1210  
New York, New York 10176  
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